

STATUTORY FAIS DISCLOSURE

(Last review 01/03/2022)

tixee.com



STATUTORY FAIS DISCLOSURE

Name:	GVT KORIMZA (PTY) Ltd
Address:	132 Road D535, Cliffdale, Durban, Kwa-Zulu Natal, South Africa, 3700
Website:	www.tixee.com
License number:	49648
Email:	support@tixee.com

1. Company's Legal Status

GVT KORIMZA (PTY) LTD (the "FSP") is a Private Company registered in the Republic of South Africa under the registration number 2017 / 257026 / 07. As a licensed Financial Services Provider in terms of the FAIS, the FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined by the Financial Advisory and Intermediary Services Act, 37 of 2002 ("FAIS Act") as amended or may be amended from time to time. Its representatives either meet the fit and proper requirements as prescribed by the FAIS Act or operate under appropriate supervisory procedures in accordance with the FAIS Act and are qualified to assist you in a professional manner. The FSP acts as an intermediary / direct marketer in terms of the FAIS Act, rendering only an intermediary service in relation to derivative products (CFDs) offered by Pipbull Ltd. (i.e., the product supplier (issuer and seller)).

2. Key Individuals And Representatives

Key Individual Name:	Authorised Classes of Business
Mr.Siphesihle Dennis Gumede	<i>Category 1 – Investments</i>
Mr Bongani Goodenough Mngadi	<i>Category 1 – Investments</i>
Representative Name:	Authorised products:
Mr.Siphesihle Dennis Gumede	<i>Category 1, Sub Category 8 – Shares – Intermediary Other</i>

The FSP confirms that its Key Individual(s) and Representative(s) are mandated and entitled to render only intermediary services to you in terms of the FAIS Act. The Key Individual(s) and Representatives are full-time employees of the FSP.

3. Complaint Handling and Compliance Queries

Please refer to our Complaints Handling Procedures on how to send us your complaint. Should your complaint not be adequately resolved or if you have a compliance related query, please do not hesitate to contact us.

Primary Compliance Officer Contact Information

Name:	
Contact person:	George Argyridis

Address:	132 Road D535 Clifdale, Durban, Kwa-Zulu Natal 3700 , South Africa
Telephone:	+27215181818
Email:	support@tixee.com

External Compliance Officer Contact Information:

Name:	OUTSOURCED COMPLIANCE SERVICES (PTY)
Contact person:	Mr JJ van Zyl & Lourens de Jongh
Address:	Office 203, 139 Greenway, Greenside, Johannesburg, 2193
Telephone:	+27215181818 +27115680925
Email:	complaints@tixee.com

4. FAIS Ombud

Please note that prior to submitting a complaint with the FAIS Ombud (details below), please consider contacting us at complaints@tixee.com. The FSP welcomes any queries or constructive feedback regarding any dissatisfaction you may have and will gladly assist and aim to resolve it to your satisfaction.

Should confirmation be provided by the FSP that we are unable to resolve your complaint, you may pursue the matter further with the Ombud.

FAIS Ombud Details	
Telephone:	+27860324766
Email Address:	info@faisombud.co.za

Please note that, if you wish to submit a complaint to the FAIS Ombud against the FSP or our representative(s), you will need to show that you have already attempted to resolve the matter directly with the FSP first.

5. Other Important Matters

- a) In terms of the Financial Intelligence Centre Act, 2001, the FSP is obliged to report any suspicious and unusual transactions that may facilitate money laundering.
- b) It is important that you are absolutely sure that the product of the product supplier and transactions meet your needs and that you feel you have all the information you need before making a decision.
- c) The FSP acts solely as an intermediary/direct marketer in terms of the FAIS Act and Section 15 of the FAIS General Code of Conduct, rendering only an intermediary service in relation to derivative products (CFDs) offered by Pipbull Ltd. The FSP does NOT make any recommendations, it does not provide guidance, nor does I make any proposals related to financial products to users. The

FSP does not perform any analyses of users' financial objectives nor investment risk profiles. Users must ensure that they are comfortable with the trading decisions they take and must contact their FAIS approved financial advisor should they require any financial advice.

- d) The FSP does not conduct any market making nor will it be the counterparty to your trades.
- e) The FSP has a suitable Professional Indemnity in place in accordance with the FAIS Act.
- f) Waiver of rights: Users are hereby advised that no representatives of the provider or any other person may ask you, nor in any way induce you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act.

6. Financial Services and Products

As an Authorised Financial Services Provider, the FSP has a Category I license issued by the Financial Sector Conduct Authority ("FSCA") in terms of FAIS, to provide intermediary services in respect of the following financial products.

Table 1

	Financial Product	Advice (non-automated)	Intermediary Service Other
1.13	Derivative Instruments excluding warrants		✓
1.25	Securities and Instruments	✓	✓
1.8	Shares	✓	✓
1.17	Long term Deposits		✓
1.18	Short term Deposits		✓

7. Conflicts of Interest

In accordance with the FSP's Conflicts of Interest policy, the FSP places high priority on its clients' best interests. Conflicts of interests could undermine the integrity and professionalism of the FSP and its employees and any potential or recognized instance must be identified as early as possible. Potential conflicts of interest are inherent in any business and therefore, the aim of the FSP is to minimize and/or prevent, if possible, such risk. If conflicts of interest situations cannot be avoided, the FSP will manage these conflicts equitably and in the client's best interest as an integral part of the FSP's ethos and culture. The FSP maintains an active Conflicts of Interest policy which is available on its website, free of charge.

8. Client Understanding and Confirmation

I confirm I have read this document and can download a copy of this notice for my records.